



COPY OF PAPERS  
ORIGINALLY FILED

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-629-B)

In the Application of: )  
Ager et al. )  
Serial No.: 09/927,214 )  
Filing Date: August 10, 2001 )  
For: Method and Apparatus for Optimization of )  
High-Throughput Screening and Enhancement )  
Of Biocatalyst Performance )  
Group Art Unit: 2123  
Examiner: Unassigned  
Confirmation No.: 4695

TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Processing Division  
Customer Correction Branch

Dear Sir:

In regard to the above identified application,

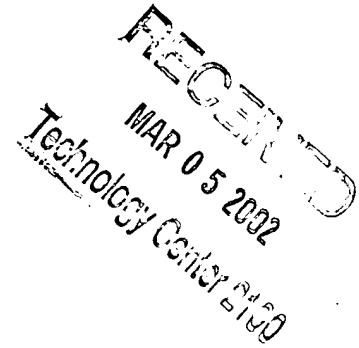
1. We are transmitting herewith the attached:
  - a) Request for Corrected Filing Receipt;
  - b) Copy of Filing Receipt with changes noted thereon; and
  - c) Postcard.
2. With respect to fees:
  - a) No fee is required.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on January 14, 2002.

Respectfully submitted,

  
Amir N. Penn

Registration No. 40,767

Date: January 14, 2002



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Group Art Unit: 2123  
Examiner: Unassigned  
Confirmation No.: 4695

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Technology Center 2100

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

Attention: Application Processing Division  
Customer Correction Branch

Dear Sir:

1. Attached is a copy of the incorrect filing receipt, with corrections appropriately indicated, which was received from the PTO in the above-referenced application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is incorrectly entered.

Error In:

Applicant(s)

David Ager, Residence Not Provided;  
John Aikens, Residence Not Provided;  
Michael Flavin, Residence Not Provided;  
Eric Kirchhoff, Residence Not Provided

Correct Data:

Applicant(s)

David Ager, Hoffman Estates, Illinois;  
John Aikens, La Grange Park, Illinois;  
Michael Flavin, Darien, Illinois;  
Eric Kirchhoff, Winfield, Illinois

**Error In:**

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/224,303 08/10/2000  
AND CLAIMS BENEFIT OF 60/174,974 01/06/2000  
AND A CIP OF 09/755,779 01/05/2001  
AND A CIP OF 09/737,204 12/14/2000  
WHICH IS A CON OF 09/443,987 11/19/1999 PAT 6,175,816  
WHICH IS A CON OF 08/862,840 05/23/1997 PAT 6,044,212  
WHICH CLAIMS BENEFIT OF 60/018,282 05/24/1996

**Correct Data:**

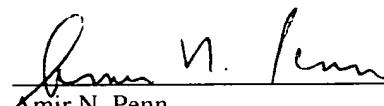
Domestic Priority data as claimed by applicant

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WHICH IS A CON OF 09/443,987 11/19/2000 PAT 6,175,816  
WHICH IS A CON OF 08/862,840 05/23/1997 PAT 6,044,212  
WHICH CLAIMS BENEFIT OF 60/018,282 05/24/1996

3. The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

Date: January 14, 2002

  
Amir N. Penn  
Registration No. 40,767



O I P E JC&B  
FEB 12 2002  
UNITED STATES PATENT AND TRADEMARK OFFICE

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Page 1 of 3

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/927,214	08/10/2001	2123	355	00-629-B	16	19	2

CONFIRMATION NO. 4695

20306  
MCDONNELL BOEHNEN HULBERT & BERGHOFF  
300 SOUTH WACKER DRIVE  
SUITE 3200  
CHICAGO, IL 60606

FILING RECEIPT



\*OC000000006566609\*

Date Mailed: 09/17/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Hoffman Estates, Illinois  
David Ager, Residence Not Provided  
John Aikens, Residence Not Provided, La Grange Park, Illinois  
Michael Flavin, Residence Not Provided; Darien, Illinois  
Eric Kirchhoff, Residence Not Provided;  
Winfield, Illinois

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/224,303 08/10/2000  
AND CLAIMS BENEFIT OF 60/174,974 04/06/2000 → 01/05/2000  
AND A CIP OF 09/755,779 01/05/2001  
AND A CIP OF 09/737,204 12/14/2000 11/19/2000  
WHICH IS A CON OF 09/443,987 11/19/1999 PAT 6,175,816 \*  
WHICH IS A CON OF 08/862,840 05/23/1997 PAT 6,044,212  
WHICH CLAIMS BENEFIT OF 60/018,282 05/24/1996  
(\*) Data inconsistent with PTO records.

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Technology Center 2100

Foreign Applications

If Required, Foreign Filing License Granted 09/14/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

**Title**

Method and apparatus for optimization of high-throughput screening and enhancement of biocatalyst performance

**Preliminary Class**

703

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**Data entry by :** ZEWDIE, BIZUAYEHU

**Team :** OIPE

**Date:** 09/17/2001





UNITED STATES PATENT AND TRADEMARK OFFICE

FILED  
JULY 11 2001

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/927,214	08/10/2001	David Ager	00-629-B

CONFIRMATION NO. 4695

20306  
MCDONNELL BOEHNEN HULBERT & BERGHOFF  
300 SOUTH WACKER DRIVE  
SUITE 3200  
CHICAGO, IL 60606



\*OC000000007562448\*

Date Mailed: 03/01/2002

**RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT**

**Claims, Fees, and Inventors**

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- The filing fee is correct. It may include additional claims fees and/or the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date; or it may not reflect fees refunded to the applicant that were paid by mistake.
- The number of claims reflected on the filing receipt is correct. Upon review of the claims, it was found that there was a miscalculation by the applicant. This may be due to improperly presented multiple dependent claims, typographical error, misnumbering of the claims, or other oversight. An amendment may be necessary to correct the problem.
- The filing fee reflected on the filing receipt is correct. Applicant may have miscalculated the fees due.
- Applicant calculated fees as other than small entity; however, applicant asserted small entity status in the application. Therefore, fees were applied as small entity and the remainder was refunded to the applicant.
- The difference between the fees paid and the fees due was refunded to the applicant and will not be shown on the filing receipt.
- The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined).

- The inventor's residence allows for up to 40 characters (letters and spaces combined).
  - The inventor's residence will only include the city and state for U.S. residences or city and country for residences outside the U.S. (See MPEP 605.02).
  - A petition to correct the inventorship is needed to make this change. See 37 CFR 1.48. For non-provisional applications, the petition should be directed to the Director of the examining group assigned to your application.
  - Changes made after submission of an executed declaration to the inventor information other than correction of typographical errors must be submitted in the form of a substitute declaration. Change of inventorship requires a petition under 37 CFR 1.48.
  - The number of drawings shown on the filing receipt reflects the number of drawing sheets submitted and is not necessarily equal to the number of figures submitted.
  - The correspondence address was captured as directed by applicant on filing. If you wish correspondence to be directed otherwise, please submit a request for a change of address.
  - The docket number allows a maximum of 25 characters.
  - The person signing on behalf of the deceased inventor is reflected on the Filing Receipt as the legal representative.
- The filing date of a parent application cannot be changed by this request. A petition to correct the filing date in the parent application is required.

  
Customer Service Center  
Office of Initial Patent Examination  
(703) 308-1202